



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re Application of:

**John C. Harvey and James W. Cuddihy:**

Group Art Unit: 2699

2611

Serial No.: 08/442,383

Examiner: FAILE, A.

Filed: May 16, 1995

Atty. Docket: 05634.0074

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

**TRANSMITTAL LETTER**

Assistant Commissioner of Patents  
Washington, D.C. 20231

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- [X] Information Disclosure Statement;  
[X] PTO FORM 1449  
[X] A fee of \$180.00 is required, and is calculated as shown below:

	(Col 1) Claims Remaining After Amendment		(Col 2) Highest No. Previously Paid for	(Col 3) Present Extra	Rate	Additional Fee
Total	*	Minus	**	=0	x \$ 18.00	\$0.00
Indep.	*	Minus	***	=0	x \$ 78.00	\$0.00
First Presentation of Mult. Dep. Claim					x \$ 260.00	\$0.00
<b>Fee under 37 C.F.R. § 1.17(p).</b>						<b>\$180.00</b>
<b>Total Fee Enclosed</b>						<b>\$180.00</b>

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" in this space is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" in this space is less than 3, write "3" in this space. "The Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

[X] **HUNTON & WILLIAMS** check no. 2050778 in the amount of \$180.00 is enclosed.

[X] The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment to Deposit Account No. 50-0206.

- [x] Any filing fees under 37 CFR 1.16 for the presentation of extra claims.  
[x] Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Thomas J. Scott, Jr.

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Date: March 18, 2002

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PTO Serial No. 08/442,383  
Docket No. 5634.0074

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : John C. Harvey and  
James W. Cuddihy

Serial No. : 08/442,383

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For : SIGNAL PROCESSING APPARATUS AND METHODS

Group Art Unit : 2699

Examiner : FAILE, A.

#29  
4-12-02  
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**Box: FEE-AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants respectfully request consideration of the references listed on the attached Form PTO-1449.

Applicants respectfully request that the Examiner consider the references cited on the PTO-1449 and that the Examiner indicate that the references have been considered in this application by returning a copy of the Form PTO-1449 with the Examiner's initials in the left column per M.P.E.P. § 609.

**I. Description of the References**

The references identified in this Supplemental Information Disclosure Statement ("Supplemental IDS") can be grouped into three categories. *First*, there are references identified in this Supplemental IDS that were cited to the PTO in connection with related applications, but were not cited in the instant application due to applicants' asserted

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priority date of November 3, 1981. Applicants are now citing these references because the Examiner has challenged applicants' November 3, 1981 priority date. Applicants maintain, however, that this application is entitled to the benefit of the challenged November 3, 1981 filing date.

*Second*, applicants have identified in this Supplemental IDS references made of record in applicants' related applications that have not previously been made of record in the instant case.

*Third*, this Supplemental IDS identifies two new references that have not previously been identified in this application or any other related applications to date. These two new references are Japanese references that came to applicants' attention during the prosecution of applicants' applications pending in Japan. These new references are: JP 59-224988 and JP 61-174889.

## **II. Description of Corrections**

In the course of preparing this Supplemental IDS applicants identified a number of miscellaneous inadvertent clerical errors contained in previously filed information disclosure statements. Applicants have corrected any such inadvertent errors with respect to references that are identified in this Supplemental IDS. With respect to inadvertent errors that occurred in information disclosure statements previously filed in this application applicants' identify and correct such inadvertent errors as follows:

- In the May 11, 2001 Information Disclosure Statement, the foreign patent reference previously identified as JP 59-50134 is correctly identified as JP 59-501340. Also in the May 11, 2001 Information Disclosure Statement, the foreign patent reference FR 79-03351 identifies the French application

number. The correct identification of this reference is publication number FR 2,417,226.

- With respect to foreign patent references in various information disclosure statements, information regarding the publication dates of these references may not have been supplied or may have been incorrectly identified. Additionally, applicants made inadvertent errors with respect to the provision of translations for certain foreign language references. A corrected complete list of all foreign references made of record in applicants' related pending applications is identified in Appendix A.
- In the concise explanation previously provided for foreign reference DE 2,338,330, this reference was misidentified. The correct patent number for this German reference is DE 2,338,380.

### **III. Concise Explanations of Foreign Language References**

Applicants unintentionally may not have provided concise explanations for certain previously identified foreign language references. Concise explanations for these references are provided in Appendix B. Additionally, in Appendix B applicants provide concise explanations for the two new Japanese references cited for the first time in this Supplemental IDS.

Applicants' submission of Appendix B meets the requirements regarding a concise explanation of foreign references as set forth in 37 CFR 1.98 and M.P.E.P. § 609.

#### IV. Conclusion

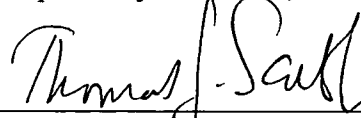
Pursuant to an agreement reached between the Examiner and applicants (see first Office Action issued in this application), applicants are not submitting copies of the references cited in this Supplemental IDS because applicants have previously provided copies of all of the references cited herein in related applications.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance. 37 C.F.R. § 1.97(c).

Submitted herewith is a check in the amount of \$180.00 to cover the fee for filing this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.17(p). Any deficiency in or overpayment of this fee should be charged or credited to Deposit Account No. 50-0206.

Date: March 18, 2002  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
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Respectfully submitted,



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PTO Serial No. 08/442,383  
Docket No. 5634.0074

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# APPENDIX A

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION NO	YES
20,242	12/10/80	EPO	G09G 1/16	X	
46,108	02/17/82	EPO	H04N 5/76	X	
49,184	04/07/82	EPO	G09B 7/08	X	
53,984**		Japan		X	
55,167	06/30/82	EPO	G09G 1/16	X	
55,674	07/07/82	EPO	07/07/82		
56,649	07/28/82	EPO	H04N 5/44		
481,565	04/29/76	Australia			
77,712	04/27/83	EPO	H04N 7/00	X	
78,185	05/04/83	EPO	H04N 7/00	X	
103,438	03/21/84	EPO	H04N 7/10		
128,481	12/19/84	EPO	H04N 7/00		
132,007	01/23/85	EPO	H04N 7/16		
133,985	03/13/85	EPO	H 04 N 7/087		
152,251	08/21/85	EPO	H04H 1/2		
187,417	07/16/86	EPO	H04 N 7/087		
206,821	12/30/86	EPO	H04N 7/00		
217,308	04/08/87	EPO	H04M 11/00		
857,862	1/4/61	Great Britain	40 (1)		
959,274	05/27/64	Great Britain			
1,066,931	04/26/67	Great Britain	G 07c		
1,189,612	06/25/85	Canada	Ho4n 7/08		
1,204,190	09/03/70	Great Britain			
1,213,357	11/25/70	Great Britain			
1,216,977	01/20/87	Canada	HO4M 11/00		
1,370,535	10/16/74	Great Britain	GO9G1/16		
1,396,981	06/11/75	Great Britain	H04H 1/00		
1,515,309	06/21/78	Great Britain	G06 K 15/20		
1,523,307	08/31/78	Great Britain	H03K 5/08		
1,543,502	04/04/79	Great Britain	G08B9/00		
1,554,411	10/17/79	Great Britain	H04b 3/54		
1,556,366	11/21/79	Great Britain			
1,582,563	01/14/81	Great Britain	G08B9/00		
1,584,111	02/04/81	Great Britain	G08B9/00		
2,016,874	02/01/79	Great Britain			
2,033,699	05/21/80	Great Britain	H04L 1/10, 1/40		
2,034,995	06/11/80	Great Britain	H03J 7/18, 5/00		

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION NO	YES
2,051,527	01/14/81	Great Britain	G06F 3/153		
2,058,681	06/15/72	Germany	H04N 7/08	X	
2,067,379	07/22/81	Great Britain	H04L 1/24		
2,081,948	02/24/82	Great Britain	H04Q 9/00		
2,090,504	07/07/82	Great Britain	H04N 3/16		
2,103,455	02/16/83	Great Britain	H04N 1/00 7/12		
2,126,002	03/14/84	Great Britain	G11B 15/02		
2,140,963	12/05/84	Great Britain			
2,141,897	01/03/85	Great Britain	H04N 7/16		
2,164,229	03/12/86	Great Britain			
2,167,917	06/04/86	Great Britain			
2,185,670	07/22/87	Great Britain	H04N 7/087		
2,338,380	02/13/75	Germany		X	
2,356,969	05/22/75	Germany			X
2,417,226	02/01/79	France		X	
2,453,441	05/13/76	Germany	H04L 9/00	X	
2,496,376	06/18/82	France	H04N 7/00	X	
2,516,733	05/05/83	France	H04N 7/00	X	
2,550,624	05/26/77	Germany		X	
2,823,175	11/29/79	Germany	G06F 3/12	X	
2,831,014	04/03/80	Germany		X	
2,853,764	01/29/81	Germany		X	
2,904,891	08/16/79	Germany			X
2,918,846	11/13/80	Germany	F26B 12/02		X
3,020,787	12/17/81	Germany	H04N 7/08	X	
3,039,949	05/06/82	Germany	H04M 3/42	X	
3,112,249	10/07/82	Germany	G09G 1/28	X	
3,143,627	05/11/83	Germany			X
3,337,204	04/25/85	Germany	H04N 5/44	X	
53-068124	06/17/78	Japan			X
53-11515	02/02/78	Japan		X	
53-121420	10/23/78	Japan		X	
55-028691	02/29/80	Japan			X
55-26792	02/26/80	Japan		X	
55-49084	04/08/80	Japan			X
55-500886	10/30/80	Japan		X	
55-79585	06/16/80	Japan		X	
56-47179	04/28/81	Japan			X
56-51161	05/08/81	Japan			X
57-199377	12/07/82	Japan			X
58-156279	09/17/83	Japan		X	

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION NO	YES
58-209276	12/06/83	Japan			X
59-154886	09/03/84	Japan			X
59-160387	09/11/84	Japan	H04N 7/10		X
59-224988	12/17/84	Japan			X
59-501340	03/23/84	Japan		X	
60-123182	07/01/85	Japan			X
60-146587	08/02/85	Japan		X	
60-149281	08/06/85	Japan		X	
60-256289	12/17/85	Japan	H04N 7/173		X
60-61935	04/09/85	Japan	G11B15/02	X	
61-148988	07/07/86	Japan	H04N 7/173		X
61-174889	08/06/86	Japan		X	
61-20441	01/29/86	Japan		X	
61-267474	11/27/86	Japan		X	
61-50470	03/12/86	Japan	H04N 5/44	X	
PL 204,525	02/09/78	Poland		X	
WO 80/00292	02/21/80	PCT Appl.	H04N9/16	X	
WO 80/02093	10/02/80	PCT Appl.	H04B 1/20		
WO 80/02901	12/24/80	PCT Appl.	H04N 7/16	X	
WO 81/02961	10/15/81	PCT Appl.	H04N 7/16, 7/04		
WO 83/00789	03/03/83	PCT Appl.	H04N 7/08	X	
WO 85/03604	08/15/85	PCT Appl.	H03K 3/84		
WO 85/03830	08/29/85	PCT Appl.	H04N 7/16		
WO 87/04884	08/13/87	PCT Appl.	H04M 11/08		

\*\* Japanese reference 53,984 to Jinno was cited by the Examiner in Application No. 08/470,447. The Examiner did not supply a publication date and applicants have been unable to determine a publication date for this reference.



**APPENDIX B**

**Concise Explanations for Foreign References**

**JP 61-174889            August 6, 1986            Japan**

Foreign language patent JP 61-174889 came to applicants' attention during the prosecution of applicants' applications pending in Japan.

**FR 2,417,226            February 1, 1979            France**  
**PL 204,525            February 9, 1978            Poland**

The French reference 2,417,226 and Polish reference PL 204,525 are both applications filed by Zaboklicki. The PTO has cited the German application filed by Zaboklicki which claims priority to the Polish Zaboklicki application. Translations of the German Zaboklicki application have been provided to the Examiner.

**DE 2,831,014            April 3, 1980            Germany**

The German reference DE 2,831,014 was applied by the Examiner in the September 4, 2001 Office Action in Application No. 08/487,526.

**JP 55-26792            February 26, 1980            Japan**

The Japanese reference JP 55-26792 was applied by the Examiner in the August 27, 2001 Office Action in Application No. 08/470,571.

**WO 80/02901            December 24, 1980            France**

The WO 80/02901 reference discloses a television system with a double key encryption service for subscription television.

**DE 3,337,204            April 3, 1980            Germany**

German reference DE 3,337,204 discloses a viewer interactive program schedule.

The following Japanese references were identified on applicants' on May 11, 2001 IDS, but explanations were unintentionally not provided. All of these applications came to applicants' attention during the prosecution of applicants' applications pending in Japan.

<b>JP 53-11515</b>	<b>February 2, 1978</b>	<b>Japan</b>
<b>JP 53-121420</b>	<b>October 23, 1978</b>	<b>Japan</b>
<b>JP 55-500886</b>	<b>October 30, 1980</b>	<b>Japan</b>
<b>JP 59-501340</b>	<b>March 23, 1984</b>	<b>Japan</b>
<b>JP 60-146587</b>	<b>September 2, 1985</b>	<b>Japan</b>
<b>JP 61-20441</b>	<b>January 29, 1986</b>	<b>Japan</b>
<b>JP 61-267474</b>	<b>November 27, 1986</b>	<b>Japan</b>

The following references were cited on PTO form 892 by the Examiners in applicants related pending applications.

<b>JP 58-156279</b>	<b>September 17, 1983</b>	<b>Japan</b>
<b>JP 55-79585</b>	<b>June 16, 1980</b>	<b>Japan</b>

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  CITATION FORM	Attorney Docket No.	Serial No.	RECEIVED MAR 22 2002 Technology Center 2600
	05634.0074	08/442,383	
	Applicant(s) John C. Harvey and James W. Cuddihy		
	Filing Date May 16, 1995	Group Art Unit 2699	

UNITED STATES PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	1,992,271	02/26/35	Williams		09/17/31
	2,046,381	07/07/36	Hicks et al.	12/10/30	
	2,192,217	03/05/40	Bellamy, et al.	07/15/29	
	2,217,881	10/15/40	Allen		02/18/32
	2,236,077	03/25/41	Smith		05/29/30
	2,264,563	12/02/41	Bumstead		01/02/32
	2,570,209	10/09/51	Cotsworth, III	05/01/48	
	2,855,993	10/14/58	Rahmel	358/84	03/19/54
	2,995,624	08/08/61	Watters		03/11/59
	3,011,153	11/28/61	Haselton et al.	05/23/56	
	3,029,308	04/10/62	Adler et al.	09/22/58	
	3,082,402	03/19/63	Scantlin		05/10/60
	3,366,731	01/30/68	Wallerstein	08/11/67	
	3,612,752	10/12/71	Banning, Jr.	178/5.1	06/22/66
	3,764,983	10/09/73	Stok	340/150	05/03/72
	3,778,058	12/11/73	Rausch	463/3	06/17/71
	3,778,721	12/11/73	Moran	325/396	09/08/72
	4,035,838	07/12/77	Bassani et al.	358/86	03/16/76
	4,059,729	11/22/77	Eddy et al.	179/15 BF	06/09/76
	4,061,879	12/06/77	Wintzer	179/15 BA	09/29/75
	4,162,483	07/24/79	Entenman	340/147 R	04/01/77
	4,199,791	04/22/80	Corey	360/69	04/17/78
	4,225,918	09/30/80	Beadle et al.	364/200	03/09/77
	4,319,353	03/09/82	Alvarez, III et al.	370/104	02/29/80
	4,338,643	07/06/82	Tadokoro	360/135	
	4,365,249	12/21/82	Tabata	340/825.3	09/29/80
	4,369,333	01/18/83	Gemperle et al.	178/22.13	
	4,383,273	05/10/83	Lunn	348/725	12/29/80
	4,386,416	05/31/83	Giltner et al.	364/900	06/02/80
	4,405,946	09/20/83	Knight	358/192.1	
	4,410,911	10/18/83	Field et al.	358/121	
	4,412,244	10/25/83	Shanley, II	358/22	
	4,414,516	11/08/83	Howard	333/21A	
	4,425,664	01/10/84	Sherman et al.	375/8	
	4,426,698	01/17/84	Pargée, Jr.	371/37	08/24/81
	4,429,385	01/31/84	Cichelli et al.	370/92	
	4,433,211	02/21/84	McCalmont et al.	179/1.5 S	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.P./

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/ SUBCLASS	FILING DATE*
	4,439,785	03/27/84	Leonard	358/120	
	4,449,249	05/15/84	Price	455/45	
	4,450,442	05/22/84	Tanaka	340/814	
	4,450,477	05/22/84	Lovett	358/86	
	4,451,700	05/29/84	Kempner et al.	179/2 AS	
	4,454,543	06/12/84	Lund et al.	358/118	
	4,454,594	06/12/84	Heffron et al.	364/900	
	4,456,925	06/26/84	Skerlos et al.	358/85	
	4,458,109	07/03/84	Mueller-Schloer	178/22.11	
	4,458,268	07/03/84	Ciciora	358/120	
	4,458,315	07/03/84	Uchenick	364/200	
	4,460,922	07/17/84	Ensinger et al.	358/122	
	4,461,032	07/17/84	Skerlos	455/4	
	4,462,076	07/24/84	Smith, III	364/200	
	4,462,078	07/24/84	Ross	364/300	
	4,475,153	10/02/84	Kihara et al.	364/145	
	4,475,189	10/02/84	Herr et al.	370/62	
	4,476,573	10/09/84	Duckeck	455/45	
	4,477,830	10/16/84	Lindman et al.	358/1	
	4,484,027	11/20/84	Lee et al.	178/22.13	
	4,484,217	11/20/84	Block et al.	358/84	
	4,486,773	12/04/84	Okubo	358/84	
	4,488,289	12/11/84	Turner	370/60	
	4,489,220	12/18/84	Oliver	179/2 AM	
	4,491,945	01/01/85	Turner	370/60	
	4,494,142	01/15/85	Mistry	358/118	
	4,494,156	01/15/85	Kadison et al.	360/48	
	4,494,230	01/15/85	Turner	370/60	
	4,495,623	01/22/85	George et al.	371/38	09/02/82
	4,495,654	01/22/85	Deiss	455/151	
	4,496,171	01/29/85	Cherry	283/61	
	4,496,975	01/29/85	Noirel	358/147	
	4,496,976	01/29/85	Swanson et al.	358/147	
	4,498,098	02/05/85	Stell	358/22	
	4,503,287	03/05/85	Morris et al.	178/22.08	
	4,503,538	03/05/85	Fritz	371/28	
	4,507,680	03/26/85	Freeman	358/86	
	4,509,073	04/02/85	Baran et al.	358/86	
	4,510,623	04/09/85	Bonneau et al.	455/181	
	4,512,011	04/16/85	Turner	370/60	

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.P./

EXAMINER INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS/ SUBCLASS	FILING DATE*
	4,513,324	04/23/85	Poetsch et al.	358/214	
	4,520,392	05/28/85	Cox et al.	358/147	
	4,520,404	05/28/85	Von Kohorn	358/335	
	4,521,806	06/04/85	Abraham	358/86	
	4,527,194	07/02/85	Sirazi	358/86	
	4,531,020	07/23/85	Wechselberger et al.	178/22.08	
	4,532,540	07/30/85	Wine	358/12	03/28/83
	4,532,547	07/30/85	Bennett	358/148	
	4,533,948	08/06/85	McNamara et al.	358/122	
	4,533,949	08/06/85	Fujimura et al.	358/122	
	4,535,355	08/13/85	Arn et al.	358/123	
	4,538,174	08/27/85	Gargini et al.	358/86	
	4,539,676	09/03/85	Lucas	370/60	
	4,540,849	09/10/85	Oliver	179/2 AM	
	4,543,616	09/24/85	Brooks	358/335	
	4,544,963	10/01/85	Jacoby et al.	360/40	
	4,546,382	10/08/85	McKenna et al.	358/84	
	4,546,387	10/08/85	Glaab	358/186	
	4,547,804	10/15/85	Greenberg	358/142	03/21/83
	4,550,407	10/29/85	Couasnon et al.	371/29	
	4,553,252	11/12/85	Egendorf	377/15	
	4,554,418	11/19/85	Toy	179/2 DP	
	4,554,584	11/19/85	Elam et al.	358/165	
	4,558,464	12/10/85	O'Brien, Jr.	455/4	
	4,562,306	12/31/85	Chou et al.	178/22.08	
	4,562,465	12/31/85	Glaab	358/120	
	4,562,495	12/31/85	Bond et al.	360/78	
	4,563,702	01/07/86	Heller et al.	358/119	
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	4,567,512	01/28/86	Abraham	358/86	
	4,570,930	02/18/86	Matheson	273/1 E	
	4,573,072	02/25/86	Freeman	358/86	
	4,573,151	02/25/86	Jotwani	370/56	
	4,574,305	03/04/86	Campbell et al.	358/86	
	4,575,750	03/11/86	Callahan	358/86	
	4,577,289	03/18/86	Comerford et al.	364/900	
	4,578,536	03/25/86	Oliver et al.	179/2 AM	
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	4,584,641	04/22/86	Guglielmino	364/200	
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	4,588,991	05/13/86	Atalla	340/825.31	
	4,589,064	05/13/86	Chiba et al.	364/200	
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	4,591,248	05/27/86	Freeman	352/133	
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	4,591,906	05/27/86	Morales-Garza et al.	358/84	
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	4,594,609	07/10/86	Romao et al.	358/119	
	4,595,950	06/17/86	Löfberg	358/122	
	4,595,951	06/17/86	Filliman	358/147	
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	4,596,021	06/17/86	Carter et al.	375/5	
	4,597,058	06/24/86	Izumi et al.	364/900	
	4,599,611	07/08/86	Bowker et al.	340/721	
	4,599,647	07/08/86	George et al.	358/122	
	4,600,918	07/15/86	Belisomi et al.	340/711	
	4,600,921	07/15/86	Thomas	340/825.31	
	4,602,279	07/22/86	Freeman	358/86	
	4,603,232	07/29/86	Kurland et al.	179/2 AS	
	4,605,964	08/12/86	Chard	358/147	
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	4,707,828	11/17/87	Yamada	370/85	09/10/85
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	4,718,107	01/05/88	Hayes	455/4	
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	4,771,456	09/13/88	Martin et al.	380/10	11/06/86
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	4,783,846	11/08/88	Wachob	455/151	06/04/87
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	4,787,063	11/22/88	Muguet	364/900	10/16/85
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	4,866,706	09/12/89	Christophersen et al.	370/85.7	08/27/87
	4,868,866	09/19/89	Williams, Jr.	380/49	02/18/88
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	4,926,255	05/15/90	Von Kohorn	358/84	05/10/88
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